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(54) A method for characterizing samples in at least two dimensional space of specific physical properties

(57) A method for characterizing samples which contain units, comprising the steps of:

- monitoring intensity fluctuations of radiation emitted, scattered and/or reflected by the units in at least one measurement volume with at least one detection means which is capable to detect radiation emitted, scattered and/or reflected by said units,
- determining at least two-dimensional intermediate statistical data from the detected intensity fluctuations,
- determining the concentration of the units as a function of at least two specific physical properties out of said intermediate statistical data.

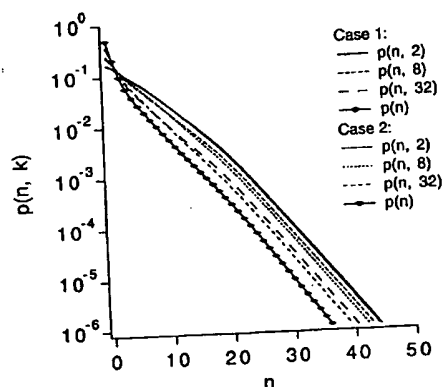


Fig. 3

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Description

The present invention relates to a method for characterizing samples in at least two-dimensional space of specific physical properties.

The essence of a number of pharmacological, biological and chemical problems is to detect substances in a sample or to measure the interaction or reaction of these substances. In order to measure the substances in a sample more specifically, usually at least one of the reactants is radioactively or luminescently labelled. A convenient and sensitive type of labels are fluorescent labels.

Widely used methods to monitor interactions by fluorescence are the determination of changes in overall fluorescence intensity or in anisotropy of fluorescence. However, a number of side effects, such as surface binding or fluorescence from impurities, often lead to interpretation problems and artifacts. A second reason which has induced interest towards refined methods of analysis is the need to work with small amounts of a large number of samples in the field of high throughput screening and large capacity diagnostics.

New opportunities for assay development were opened when the technology for monitoring fluorescence from single fluorophore molecules became available. The first successful studies on fluorescence intensity fluctuations were performed by Magde, Elson and Webb (Biopolymers, Vol. 13, 29-61, 1974) who demonstrated the possibility to detect number fluctuations of fluorescent molecules and established a research field called fluorescence correlation spectroscopy (FCS). FCS was primarily developed as a method for determining chemical kinetic constants and diffusion coefficients. The experiment consists essentially in measuring the variation of the number of molecules of specific reactants in time in a defined open volume of solution. Microscopic fluctuations of the concentration of the reactant are detected as fluorescence intensity fluctuations from a small, open measurement volume. The measurement volume is defined by a focussed laser beam, which excites the fluorescence, and a pinhole in the image plane of the microscope collecting fluorescence. Intensity of fluorescence emission fluctuates in proportion with the changes in the number of fluorescent molecules as they diffuse into and out of the measurement volume and as they are created or eliminated by the chemical reactions. Technically, the direct outcome of an FCS experiment is the calculated autocorrelation function of the measured fluorescence intensity.

An important application of FCS is to determine concentrations of fluorescent species having different diffusion rates in a mixture. In order to separate the two terms corresponding to translation diffusion of two kinds of particles in the autocorrelation function of the fluorescence intensity, at least about a two-fold difference in diffusion time is needed, which corresponds generally to an eight-fold difference in the mass of the particles. Furthermore, if one succeeds in separating the two terms in the autocorrelation function of fluorescence intensity, it is yet not sufficient for determining the corresponding concentrations except if one knows the relative brightness of the two different types of particles.

The European patent application 96 116 373.0 describes a method for analyzing samples by measuring numbers of photon counts per defined time interval in a repetitive mode from light emitted, scattered and/or reflected by particles in said sample, and determining the distribution of the number of photon counts per said time intervals, characterized in that the distribution of specific brightness of said particles is determined from said distribution of the number of photon counts. This method can also be applied to study fluorescent samples. This special embodiment is the so called fluorescence intensity distribution analysis (FIDA). While FCS distinguishes between different species according to their diffusion time, FIDA distinguishes between them according to their specific brightness. Both FCS and FIDA rely on a single specific physical property.

A third method which is somewhat related to the present invention, is fluorescence analysis in cell sorting (FACS). In FACS machines, the intensity of light emitted by a single particle is measured with a relatively high precision, and intensities corresponding to different wavelengths, scattering or polarization angles can be plotted simultaneously. However, ordinary FACS cannot be applied in cases when the particles under study arrive and leave the measurement volume at random pathways, so that a lot of them do not pass through the center of the focus. Also, the set of procedures used in FACS is not applicable in cases when light intensity corresponding to individual particles is so weak that the stochastic error of its measurement exceeds differences in intensities corresponding to different species of particles.

One object of the invention is to increase the reliability of analysis of samples and reduce risk of misinterpretation of the measured data.

Another object of the invention is to considerably broaden the field of applicability of multidimensional analysis of samples.

The objects of the present invention are solved with the method having the features of claim 1.

It is to be understood that the following description is intended to be illustrative and not restrictive. Many embodiments will be apparent to those of skill in the art upon reviewing the following description. By way of example, the invention will be described primarily with reference to monitoring numbers of photon counts from light emitted by fluorescently labelled particles in a sample. This is because fluorescence is a very sensitive means allowing to monitor single molecules, and still rather selective allowing to distinguish between different species. However, in some embod-

iments it may be desirable to monitor numbers of photon counts of other origin than fluorescence.

The term "unit of a sample" refers, in general, to subparts of the sample which are capable of emitting, scattering and/or reflecting radiation. A sample might contain a number of identical units or different units which preferably can be grouped into species. The term "different species" refers also to different states, in particular different conformational states, of a unit such as a molecule. Fluorescently labelled or naturally fluorescent molecules, molecular complexes, vesicles, cells, beads and other particles in water or other liquids are examples of fluorescent units in liquid samples, while examples of fluorescent units of a solid sample are impurity molecules, atoms or ions, or other fluorescence centres.

What is meant by the term "specific physical property" is generally a physical measurable property having a certain value or interval of values for one species and, in general, another value or interval of values for another species. Examples of specific physical properties are: diffusion coefficient, absorption cross-section, quantum yield of fluorescence, specific brightness, anisotropy of fluorescence, fluorescence decay time, ratio of fluorescence intensity passing through different optical filters, etc.

The specific brightness in the sense of the present invention is a physical characteristic which expresses in what extent a unit of given species is able to emit, scatter and/or reflect radiation. It is thought to characterize single units and therefore the value of specific brightness is neither depending on concentration of the units, nor on the presence of other units. Thus, a change of the total count rate of photons emitted, scattered and/or reflected from the measurement volume, if only due to a change in concentration of the total number of units, does not influence the value of specific brightness. Specific brightness of a unit is usually expressed in terms of the mean count rate per unit which is a weighted average of the count rate over coordinates of the unit in the measurement volume.

The importance of the present invention for the characterization of samples may be illustrated by the following, non-limiting example: Assuming that a solution contains relatively small labelled ligand molecules and beads bearing two receptors, then one has to distinguish between three fluorescent species: free ligand molecule (L), one ligand molecule bound to a bead (BL), and two ligand molecules bound to a bead (BLL). The assumption is made that no quenching of fluorescence occurs upon binding.

FCS would separate at best two terms: the first with the diffusion time of L, and the second with the diffusion time of both BL and BLL, which are practically equal because the bead has a much larger molecular weight than the ligand. The outcome of FIDA would be two values of specific brightness: that of BLL being two times higher than that of BL or L. The conclusion of these analyses could be that the sample contains two species, and in case of about 1:1 ratio of amplitudes, one could not decide which value of the diffusion time corresponds to which value of the specific brightness.

The method of the present invention, however, would distinguish all three species, because they differ between each other in at least one out of the two specific properties of analysis.

According to the invention, a new quality of characterization of samples containing units which emit, scatter and/or reflect radiation becomes possible. In the first step, intensity fluctuations of radiation emitted by units in at least one measurement volume are monitored with at least one detection means which is capable to detect radiation emitted, scattered and/or reflected by said units. In a second step of the method according to the invention, at least two-dimensional intermediate statistical data are determined from the detected intensity fluctuations. In a third step, the concentration of the units as a function of at least two specific physical properties is determined out of said intermediate statistical data.

An important step of the method according to the invention is the determination of at least a two-dimensional set of intermediate statistical data out of the measured stochastic data of radiation intensity fluctuations. Which set of intermediate data is to be determined depends on the specific physical properties of interest. If the diffusion coefficient and the specific brightness are chosen as the two specific properties to distinguish between different species in the sample, then the intermediate two-dimensional statistical function has to depend somehow both on specific brightness of said species and the speed at which the species enter and leave the measurement volume. In this particular case, a suitable selection is $p(n, k)$ which is the conditional probability to count n photons in the k -th time interval provided an occasional photon of incidence was counted in the 0-th time interval. Other selections are also possible, as for example $p(n, T)$ which is the probability distribution of the number of counts as a function of the width of the counting time interval.

Another example is the characterization of samples on basis of the specific brightness and the anisotropy of fluorescence corresponding to different species. In this case, it is adequate to use two detection means, one for the parallel and the other for the perpendicular polarization. As an intermediate statistical data set, one can select $p(n_1, n_2)$ which is the joint probability to count n_1 photons by the detection means for parallel polarization and n_2 photons by the other detection means during the same counting interval.

In a preferred embodiment, the fluctuating intensity of radiation is monitored in terms of determining numbers of photon counts in consecutive time intervals of given length. The examples above correspond to this case. In a further preferred embodiment, said intensity fluctuations of radiation are monitored in terms of determining time of arrival of photons and/or time intervals between consecutive photon counts. It is a matter of technical convenience whether one collects data in terms of count numbers per time interval or time per count.

It might be preferred to select intermediate statistical data from a group consisting of unconditional and conditional distributions of the number of photon counts, distributions of time intervals between consecutive photon counts, autocorrelation functions, cross-correlation functions, and combinations thereof.

According to a further preferred embodiment, the units are particles, molecules, aggregates, vesicles, cells, viruses, bacteria, centers, or mixtures thereof in solids, liquids or gases. It might be preferred to group units into species which can be distinguished by at least one of their specific physical properties. At least one of the species can be luminescent, preferably fluorescent, and/or can be luminescently labelled.

It might be preferred to monitor the intensity fluctuations of fluorescence with the help of only one detection means. If one is interested in characterization of species according to more than one specific brightness corresponding, for example, to different polarizations or spectral sensitivities of fluorescence detection, then it might be preferred to use more than one detection means. Any detector which is capable to detect radiation emitted, scattered and/or reflected by units of the sample may be used. Appropriate detection means such as an avalanche photo-diode, a photomultiplier or conventional photodiodes are well known to those of skill in the art. It might also be preferred to use a multidetector consisting of a monolithic configuration of a plurality of detectors, especially if one wants to measure a set of samples in parallel as it is the case in miniaturized high throughput screening. It might further be preferred to use a two-dimensional multiarray detector.

In one preferred embodiment, at least one of the specific physical properties characterizing said units is the diffusion coefficient, or correlation time of radiation intensity fluctuations, or any other property expressing how fast or slow Brownian motion of given units is.

In a further preferred embodiment, at least one of the specific physical properties characterizing a unit is the specific brightness.

It might further be preferred that at least one of the specific physical properties characterizing fluorescent units is the polarization ratio of their fluorescence, or fluorescence anisotropy, or any other property expressing the extent of polarization of fluorescence. For example, a solution of two fluorescent species is described not only by the polarization ratio of fluorescence of the whole sample, but also by two specific polarization ratios characterizing the two species. Usually, these last two properties are determined by studying fluorescence of pure solutions of given species. According to the present invention, these properties can also be determined from monitoring fluorescence intensity fluctuations of the mixture of said species.

In one embodiment, at least one of the specific physical properties characterizing the fluorescent units is the ratio of fluorescence intensities corresponding to different excitation wavelengths and/or different spectral sensitivities of fluorescence detection, or any other property expressing the dependence of fluorescence intensity on the wavelength of excitation and/or detection.

In a preferred embodiment, at least one of the specific physical properties characterizing said fluorescent units is lifetime of fluorescence.

The specific physical properties, in particular luminescence properties like fluorescence lifetime or fluorescence anisotropy, of the units can be varied by conjugating them with a specific luminophore via different linker molecules. It might be preferred to use polymeric linker molecules consisting of a varying number of equal or different monomers.

The luminescence properties of the units may also be varied by conjugating them with a first molecule, as e.g. biotin, which binds a luminescently labelled second molecule, as e.g. luminescently labelled avidin or streptavidin.

The luminescence properties of a particle can also be changed by energy transfer. Energy absorbed by a donor particle is transferred upon close contact to a luminophore of an acceptor particle and subsequently emitted.

The method according to the present invention is particularly well suited for high throughput screening, diagnostic purposes, monitoring polymerization, aggregation or degradation processes, or for general analytical purposes, such as environmental analytics or process control.

In screening procedures, substances that are possibly pharmacologically active can be analyzed through their interaction with specific receptors by examining said interaction with binding of a luminescently labelled ligand to receptors wherein natural receptors on their carrier cells as well as receptors on receptor-overexpressing carrier cells or receptors on vesicles or receptors in the form of expressed molecules or molecular complexes may be used. Moreover, the interaction of substances with enzymes in solution or in their genuine cellular environment can be detected by monitoring a change of the substrate of the enzyme, e.g. a change in size, brightness, rotational diffusion, or any other of the above mentioned fluorescence properties. Another means of determining enzyme activity is to add a fluorescently labelled molecule, which binds to either educt or product of the enzymatic reaction. Another method for investigating pharmacological activity of substances is the measurement of reporter systems such as Green Fluorescent Protein (GFP) expression, and of the properties of molecules to which GFP is attached. Further applications, especially concerning the performance of assays, are disclosed in WO 94/16313.

For the detection of specific recognition reactions, potential active substances can be present in complex natural, synthetic or semisynthetic mixtures which are subjected to separation prior to analysis. These mixtures can be separated first e.g. by chromatography to test the individual fractions for the presence of functional compounds preferably

"on line" in a capillary at the end of a separation matrix. The coupling of fractionating methods with FCS detection is described in detail in WO 94/16313.

With respect to the determination of interactions between antigens and antibodies, the antigen is often presented in a crude biological matrix which may be a source of high background signals such as autofluorescence, or can otherwise distort the "pure" signal, e.g. by absorption of fluorescence photons or unspecific binding of the labelled probe to other particles of the sample. By identifying the antigen-antibody complexes via, for example, the size of the molecular complex and its brightness, they can be separated from the signal caused by artifacts.

Another task in diagnostics is to identify nucleic acid strands by a labelled probe molecule, such as a specific oligomer. With the use of a primer "cocktail", which e.g. can exist of primers labelled with dyes of different brightness, a target nucleic acid can be identified by the diffusion time and the number of primers having bound to it. A method for direct identification of few nucleic acid strands with a primer cocktail, which preferably consists of a mixture of different, short primers each with a so-called antisense-sequence complementary to a section of the target molecule and marked with one or more dye molecules, is disclosed in DE 195 08 366 A1.

Often, aggregation and degradation are phenomena to be monitored. Aggregates display brightnesses and diffusion times different from the monomers. In determining both properties, the measurements become more precise and do not exhibit a bias due to different molecular brightnesses, as it would be the case with FCS alone.

In sequencing according to the method of Sanger, oligomers of different length, of which the terminating nucleic acid is labelled with a dye, are identified. Advanced techniques, as e.g. the one described in DE 38 07 975 A1, use dyes which exhibit different properties, such as fluorescence lifetime, according to the type of base they are attached to. The determination of a base is much more secure if several properties, such as fluorescence lifetime and brightness, or any other specific physical property, are determined according to the invention and cross checked for consistency. In a preferred embodiment, the sample to be sequenced is separated by gel or capillary electrophoresis, or a separation step is conducted by capillary electrochromatography, electrohydrodynamic migration or related electrokinetic methods.

In particle sorting, especially in cell or bead sorting, the task is to separate cells or beads according to their biological or chemical properties which can be monitored by their fluorescence properties. Prior state of the art just monitors fluorescence intensity or intensity of scattered light in a measurement volume much larger than the size of the cell or of comparable size to the bead. Thus, cells or beads binding only partly a ligand which is also present unbound in the surrounding solution cannot be monitored by prior art. The current invention solves this problem even for relatively large measurement volumes by determining fluorescence and/or molecular parameters different from mere intensity, and thus enables the separation of both contributions, that of the bound and the one of the unbound ligand.

The importance of the present invention for the characterization of samples may be further illustrated by the following, non-limiting example: Assuming that a solution contains relatively small luminescent ligand molecules which tend to form aggregates as well as beads with multiple binding sites for these luminescent ligand molecules. Then one might want to distinguish between aggregates of a particular number of luminescent ligand molecules (A) and beads to which the same number of luminescent ligand molecules is bound (B). The two-dimensional analysis according to the present invention allows distinguishing species A, which is a fast diffusing component with a specific brightness, from species B, which is a slower diffusing component with the same specific brightness as A.

In one embodiment, said intermediate statistical data are fitted using a priori information on said sample. In a further embodiment, said statistical data are processed applying multidimensional inverse transformation with linear regularization (ITR) or inverse transformation with constraints (ITC) or inverse transformation with regularization and constraints (ITRC). Inverse transformation can be used to determine which composition of the sample would yield the theoretical values of the intermediate statistical data set closest to the experimental data. Because of statistical errors and limited sizes of measured data, inverse transformation is often an ill-posed mathematical problem, characterized by wild oscillations in its outcome. ITR, ITC and ITRC stabilize the mathematical problem by looking for a "regular" (e.g. a smooth) or constrained solution, for example by minimizing the sum of squared deviations of statistical data and a function of the solution itself, penalizing "irregular", usually irreproducible structures in the outcome, or values having no physical meaning. An example of constraining is disallowing negative values for concentrations. (For the method of ITR, see, e.g., W. H. Press, S. A. Teukolsky, W. T. Vetterling, B. P. Flannery, Numerical recipes in C: the art of scientific computing, second edition, Cambridge University Press, 1992, p. 808).

In a preferred embodiment, the measurement volume is only a part of the total volume of the sample and said units are diffusing and/or being actively transported into and out of said measurement volume and/or said sample is actively transported and/or optically scanned. If said units, e.g. fluorescent particles, are sufficiently small, then diffusion is fast enough for data acquisition from a great number of counting intervals. However, if the characteristic time of diffusion is substantially longer than the time interval for measuring fluorescence intensity, then active transport (flow or scanning) can considerably save time of data acquisition.

In fluorescence studies, it may be advantageous to take measures for reducing the background count rate, arising from Raman scattering in the solute material and dark count rate of the detector, with respect to the count rate per unit. In particular, it is in some cases preferred to use measurement volumes smaller than 10^{-12} l, more preferably smaller

than 10^{-14} l.

The measurement volumes can preferably be arranged on two-dimensional carriers, such as membranes or sheets having wells. Suitable carrier systems are described in WO 94/16313 as well as in the German patent application 196 53 766.5. The latter discloses a polymeric disk with multiple wells. In particular, this disk has dimensions of known compact discs (CD) or mini CDs.

Advantageously, the high signal to background count rate and the small optical measurement volume may be achieved by using at least one microscope objective, preferably with a numerical aperture ≥ 1.2 , in a confocal manner for both focussing the incident laser beam and collecting radiation emitted, scattered and/or reflected by units in said sample.

A suitable device is disclosed in WO 94/16313.

In a further preferred embodiment, the measurement volume is restricted by the use of elements of near field optical microscopy. Near field optical microscopy means here that the light passes through an aperture with at least one of its dimensions being smaller than the wavelength of the light used and which is in direct contact to the measurement volume. The aperture may consist of an opaque layer with at least one hole of said diameter or at least one slit of appropriate width and/or a tapered glass fiber or wave guide with a tip diameter of said width, optionally coated with an opaque layer outside. Near field optical microscopy can be used for focussing the excitation light of the units, and/or collecting the light emitted by the units. A suitable device is disclosed in WO 96/13744.

Another preferred embodiment combines near field optical microscopy for the excitation light path, and conventional optical microscopy for the emission light path, or vice versa. The present invention profits from such a realization in the sense that the size of the measurement volume is reduced compared to conventional confocal microscopy. Thus, the present invention can be used to measure higher concentration of particles as with other optical schemes.

In a preferred embodiment of the method, multiple photon excitation is used to excite a unit. Multiple photon excitation means that the sum, difference or any other combination of wave frequencies of two, three or more photons is used for excitation of e.g. luminescence. Such an excitation scheme has an advantage in the sense that the excitation probability is not linearly dependent on excitation intensity, but on the second or higher power. Thus, the multiple photon excitation is mostly limited to the volume of the laser focus, whereas outside the laser focus no spurious excitation is generated. The present invention profits from such an excitation scheme in the sense that less background is generated compared to single photon excitation, and that there is no pinhole necessary to restrict the measurement volume. Appropriate laser sources of picosecond or subpicosecond pulses are well known to those of skill in the art.

The nature and the advantages of the invention may be better understood on the basis of the following figures.

Fig. 1. Illustration of the ability of FCS to analyze mixtures. The correlation function $G(t)$ calculated for a mixture of two species having two times different diffusion coefficient is slightly different from $G(t)$ calculated for single species. If the correlation function for a mixture is measured with a sufficient precision, then the analysis yields amplitudes and diffusion times of the terms corresponding to both species.

Fig. 2. Illustration of the ability of FIDA to analyze mixtures. The distribution function of the number of photon counts $p(n)$ calculated for a mixture of two species with two times different specific brightness is obviously different from $p(n)$ calculated for single species. Values of concentrations and specific brightnesses are selected to yield equal mean and variance of the count number for both cases. If the distribution function $p(n)$ corresponding to the mixture is accurately measured, then the analysis yields concentrations (number of particles per measurement volume) and specific brightnesses (number of photon counts per particle) of both species.

Fig. 3. Illustration of the ability of the present invention to analyze mixtures. A set of intermediate statistical data, $p(n, k)$ is theoretically calculated for two cases which yield identical correlation functions $G(t)$ and distribution functions of photon count number $p(n)$, but in case 1 darker particles diffuse two times faster than brighter ones while in case 2 the darker particles are slower. The calculated curves $p(n, k)$ for these two cases differ from each other which means that the present invention can distinguish between the cases which are indistinguishable by both FCS and FIDA.

Fig. 4. Illustration of the ability of the present invention to analyze mixtures of two species. A set of intermediate statistical data, $p(n_1, n_2)$, is theoretically calculated for two cases. Both cases correspond to mixtures of two fluorescent species.

In case 1, fluorescence of one species is polarized: the mean count number per particle is 4.0 for the first and 2.0 for the second detector. Fluorescence of the second species is unpolarized: the mean count number per particle is 3.0 for both detectors.

In case 2, fluorescence of both species is moderately polarized: the mean count number per particle is 4.0 and 3.0 for species 1, but 3.0 and 2.0 for species 2.

These two cases would yield identical distribution functions of photon count numbers $p(n_1)$ and $p(n_2)$. However, the two-dimensional analysis according to the present invention using $p(n_1, n_2)$ can distinguish case 1 from case 2.

Fig. 5. Distributions $p(n)$ and $p(n, k)$ measured for a 0.5 nM tetramethylrhodamine solution in water. The width of the sampling time window is 40 μ s and the data collection time is 60 s.

Fig. 6. Residuals of fitting $p(n, k)$ of Fig. 5. Residuals are of stochastic outlook and no methodological deviations

are observable.

Example 1

Experimental data were collected and semiartificial data were created according to the following procedure. Five experiments were performed on a 0.5 nM solution of tetramethylrhodamin (TMR), measuring the sequence of the number of photon counts corresponding to the sampling time interval $T = 20 \mu s$. The duration of each experiment was 40 s. In addition, five further experiments were performed at $T = 40 \mu s$, using a 0.2 nM solution of TMR. The third set of data files was created semi-artificially, summing pairs of the number of photon counts obtained at $T = 20 \mu s$ and $T = 40 \mu s$ to simulate a mixture of "darker" but "faster" particles with two times "brighter" but "slower" ones. The mixture of fluorescent species with twofold difference in the diffusion time is at the limit of resolution of FCS, whereas a twofold difference of specific brightness is at the limit of resolution of FIDA. The experimentally obtained data were analyzed assuming single species. The semi-artificial data were analyzed assuming two species. The results of analysis are presented in Table 1.

Table 1

No.	Sample	Sampling time (μs)	Concentration (mean number of molecules per measurement vol- ume)	Specific brightness (mean number of pho- ton counts per mole- cule)	Diffusion coefficient (arbitrary units)
1	TMR 0.2 nM	40	0.238 / -----	4.06 / -----	13.1 / -----
2			0.236 / -----	4.12 / -----	13.2 / -----
3			0.237 / -----	4.12 / -----	12.8 / -----
4			0.240 / -----	4.10 / -----	12.7 / -----
5			0.235 / -----	4.09 / -----	12.6 / -----
6	TMR 0.5 nM	20	----- / 0.593	----- / 2.00	----- / 6.82
7			----- / 0.589	----- / 2.01	----- / 6.99
8			----- / 0.585	----- / 2.02	----- / 6.89
9			----- / 0.588	----- / 2.01	----- / 6.86
10			----- / 0.600	----- / 2.00	----- / 6.78
11	Mixture	---	0.265 / 0.567	3.98 / 1.92	13.8 / 6.6
12			0.291 / 0.536	3.92 / 1.90	12.6 / 6.9
13			0.254 / 0.568	4.04 / 1.99	11.5 / 7.6
14			0.280 / 0.544	3.94 / 1.96	13.5 / 5.8
15			0.251 / 0.589	4.02 / 1.97	13.2 / 6.2

Claims

1. A method for characterizing samples which contain units, comprising the steps of:

- monitoring intensity fluctuations of radiation emitted, scattered and/or reflected by the units in at least one measurement volume with at least one detection means which is capable to detect radiation emitted, scattered and/or reflected by said units,
- determining at least two-dimensional intermediate statistical data from the detected intensity fluctuations,
- determining the concentration of the units as a function of at least two specific physical properties out of said intermediate statistical data.

2. A method according to claim 1, wherein said intensity fluctuations are monitored in terms of determining numbers

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of photon counts in consecutive time intervals of given length.

3. A method according to claim 1 and/or 2, wherein said intensity fluctuations are monitored in terms of determining time of arrival of photons and/or time intervals between consecutive photon counts.
- 5 4. A method according to at least one of the claims 1 to 3, wherein said intermediate statistical data are selected from a group consisting of unconditional and conditional distributions of the number of photon counts, distributions of time intervals between consecutive photon counts, auto-correlation functions, cross-correlation functions, and combinations thereof.
- 10 5. A method according to at least one of the claims 1 to 4, wherein said units are particles, molecules, aggregates, vesicles, cells, viruses, bacteria, beads, centers, or mixtures thereof in solids, liquids or gases.
- 15 6. A method according to at least one of the claims 1 to 5, wherein said units can be grouped into species which can be distinguished by at least one of their specific physical properties.
- 20 7. A method according to at least one of the claims 1 to 6, wherein at least one species is luminescent, preferably fluorescent, and/or is luminescently labelled and at least one detection means monitors the fluctuations of luminescence intensity.
- 25 8. A method according to at least one of the claims 1 to 7, wherein at least one of the specific physical properties characterizing said units is the diffusion coefficient, or correlation time of radiation intensity fluctuations, or any other property directly related to said diffusion coefficient.
- 30 9. A method according to at least one of the claims 1 to 8, wherein at least one of the specific physical properties characterizing said units is the specific brightness.
10. A method according to at least one of the claims 1 to 9, wherein at least one of the specific physical properties characterizing fluorescent units is the polarization ratio of their fluorescence, or fluorescence anisotropy, or any other property expressing the extent of polarization of fluorescence.
- 35 11. A method according to at least one of the claims 1 to 10, wherein at least one of the specific physical properties characterizing fluorescent units is the ratio of fluorescence intensities corresponding to different excitation wavelengths and/or different spectral sensitivities of fluorescence detection, or any other property expressing the dependence of fluorescence intensity on the wavelength of excitation and/or detection.
- 40 12. A method according to at least one of the claims 1 to 11, wherein at least one of the specific physical properties characterizing fluorescent units is lifetime of fluorescence.
- 45 13. A method according to at least one of the claims 1 to 12, wherein the specific physical properties, in particular luminescence properties like fluorescence lifetime or fluorescence anisotropy, of the units are varied by conjugating them with a specific luminophore via different linker molecules.
14. A method according to at least one of the claims 1 to 13, wherein the luminescence properties of the units are varied by conjugating them with a first molecule, in particular biotin, which binds a luminescently labelled second molecule, in particular luminescently labelled avidin or streptavidin.
- 50 15. A method according to at least one of the claims 1 to 14, wherein the luminescence properties of a particle are changed by energy transfer, in which energy absorbed by said particle is transferred upon close contact to a luminophore of an acceptor particle and subsequently emitted.
- 55 16. A method according to at least one of the claims 1 to 15 for use in high throughput screening, diagnostics, monitoring of polymerization, aggregation and degradation processes, particle sorting, or nucleic acid sequencing.
17. A method according to at least one of the claims 1 to 16, wherein said intermediate statistical data are fitted using a priori information on said sample.
18. A method according to at least one of the claims 1 to 17, wherein said statistical data are processed applying mul-

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tidimensional inverse transformation with linear regularization and/or constraints.

19. A method according to at least one of the claims 1 to 18, wherein the measurement volume is only a part of the total volume of said sample and has a volume $\leq 10^{-12}$ l, preferably $\leq 10^{-14}$ l.

5 20. A method according to at least one of the claims 1 to 19, wherein said units are diffusing and/or being actively transported into and out of said measurement volume and/or said sample is actively transported and/or optically scanned.

10 21. A method according to at least one of the claims 1 to 20, wherein the measurement volumes are arranged on a two-dimensional carrier, in particular on a membrane or in sheets having wells, or in linear way, preferably in a capillary system.

15 22. A method according to at least one of the claims 1 to 20, wherein at least one microscope objective, preferably with a numerical aperture ≥ 1.2 , is used in a confocal manner for both focussing an incident laser beam and collecting radiation emitted, scattered and/or reflected by said units of said sample.

23. A method according to at least one of the claims 1 to 21, wherein said measurement volume is restricted by the use of elements of near field optical microscopy, or their combination with conventional microscopy optics.

20 24. A method according to at least one of the claims 1 to 17, wherein fluorescence is induced using multiple photon excitation.

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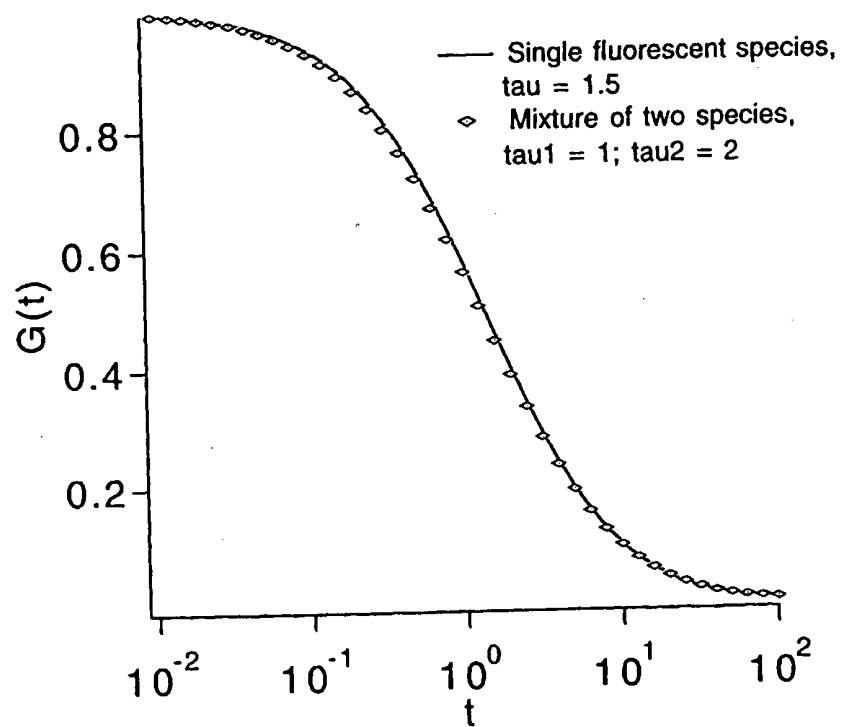


Fig. 1

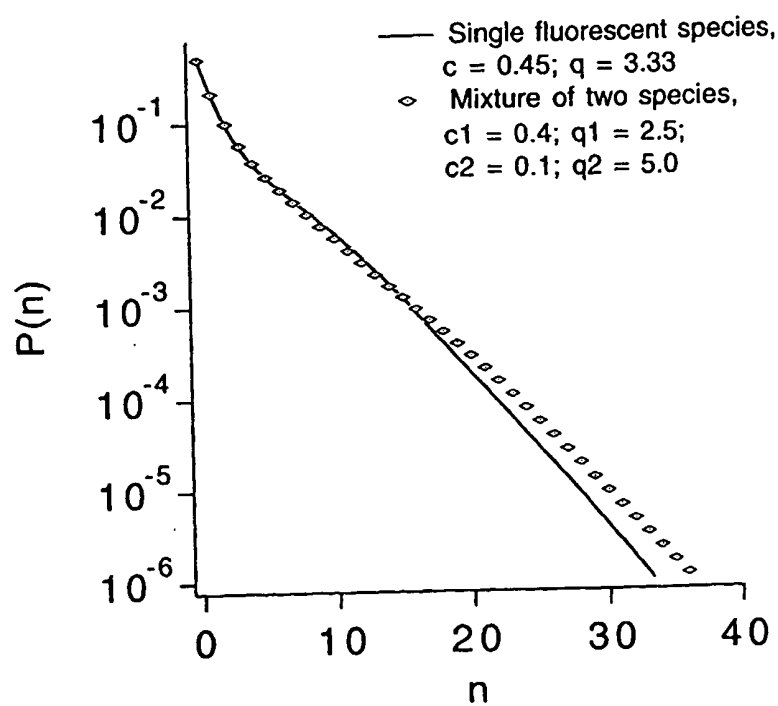


Fig. 2.

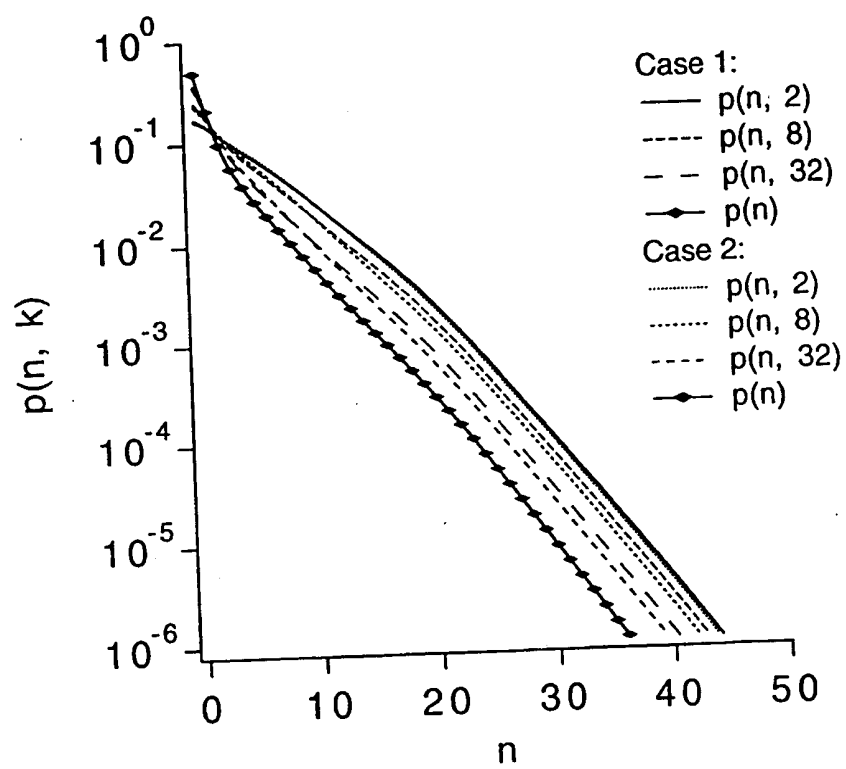


Fig. 3

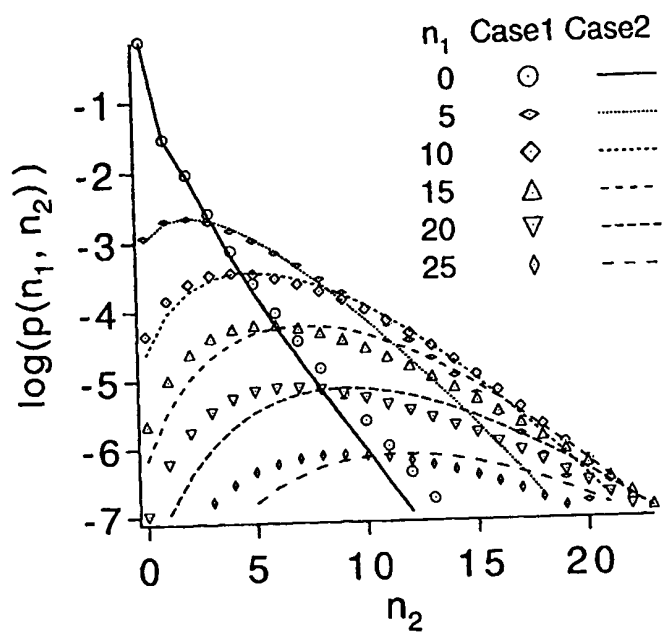


Fig. 4

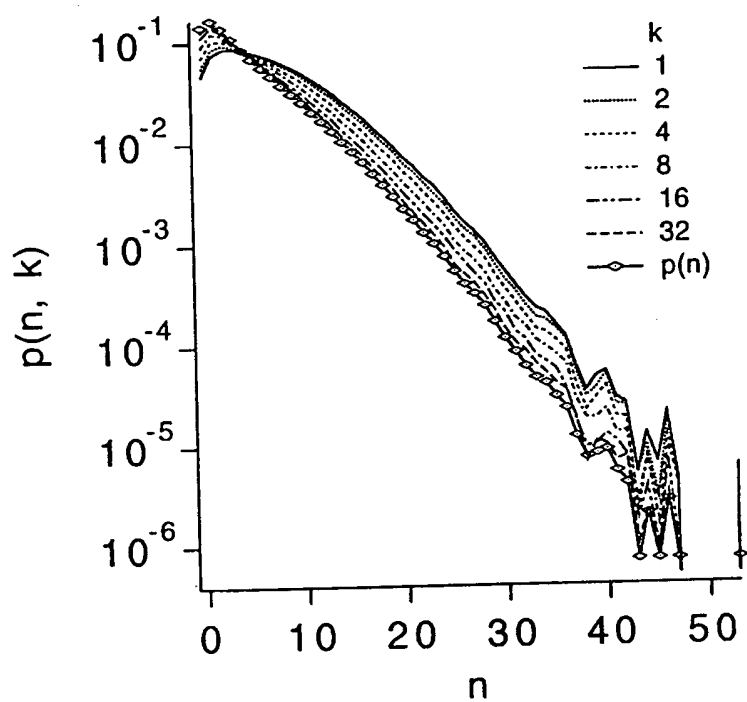


Fig. 5

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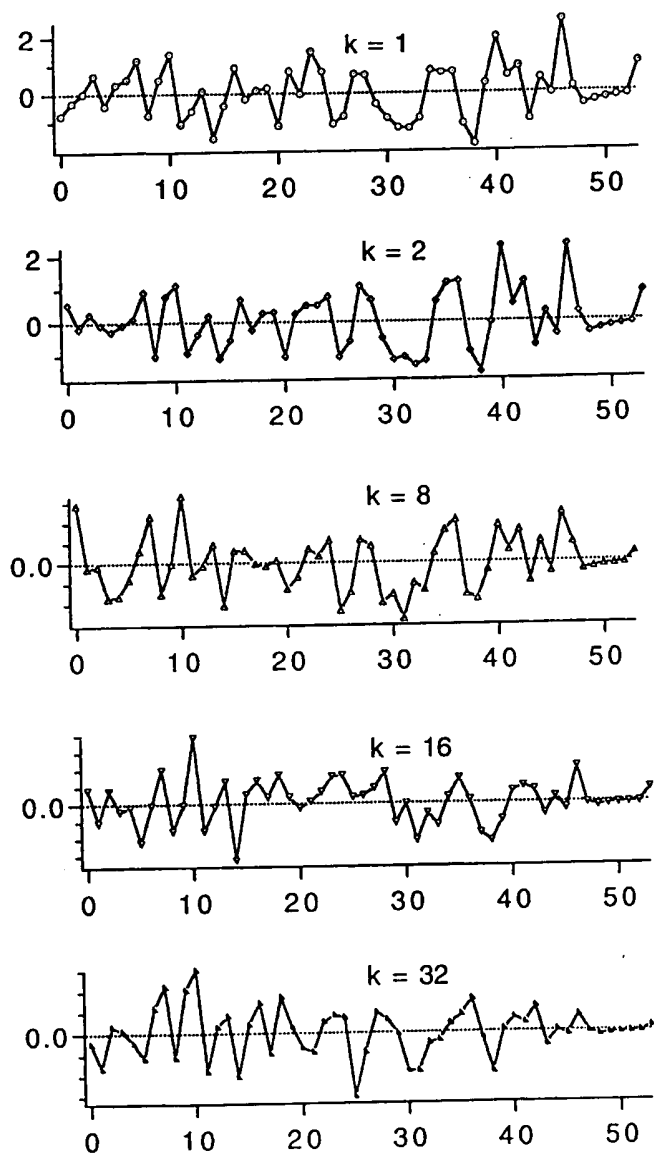


Fig. 6

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EUROPEAN SEARCH REPORT

Application Number
EP 97 10 9353

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
Y	EP 0 359 681 A (UNIVERSITY OF ARKANSAS) * page 2, line 3 - line 9 * * page 5, line 38 - line 40 * * page 8, line 2 - line 6 * * page 9, line 14 - line 23 * * page 10, line 48 - line 54 * ---	1-5,7,8, 16,20	G01N21/64
Y	US 3 824 402 A (MULLANEY) * abstract * * column 3, line 58 - column 4, line 21 * ---	1-5,7,8, 16,20	
A	DE 43 01 005 A (DIAGEN) * column 1, paragraph 1 * * column 3, line 26 - line 37 * * column 5, line 22 - line 36 * * column 6, line 24 - line 34 * * column 9, line 66 - column 10, line 4 * & WO 94 16313 A ---	1-5,7,8, 16,19-23	
D			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
A	DE 44 38 391 A (EVOTEC) * column 1, paragraph 1 * * column 8, line 25 - line 43; figure * & WO 96 13744 A ---	1-5,7,8, 16,21,23	G01N
D			
A	US 5 294 799 A (ASLUND) * abstract * * column 1, paragraph 1 * ---	7,11,13, 20	
A	EP 0 762 114 A (BASF) * abstract * -----	1-5,7,8, 16,24	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 5 November 1997	Examiner Thomas, R.M.
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p>			

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